

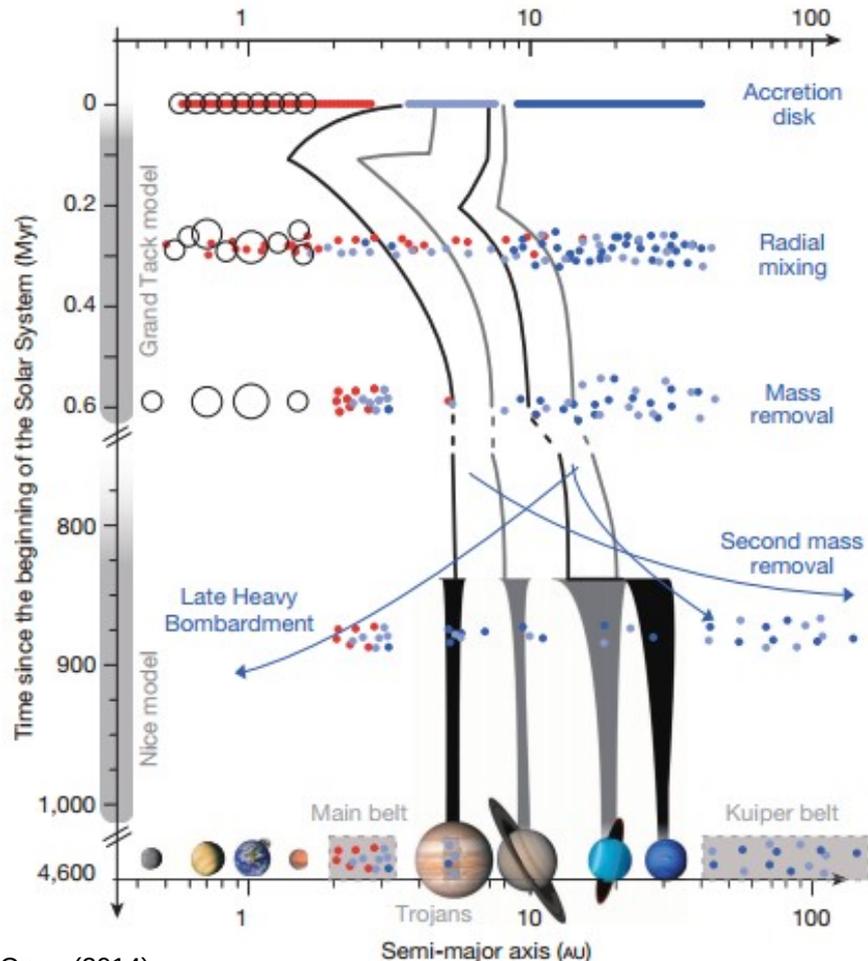
Occultations and the Size and Density of Asteroids



Mike Kretlow, IOTA / ES

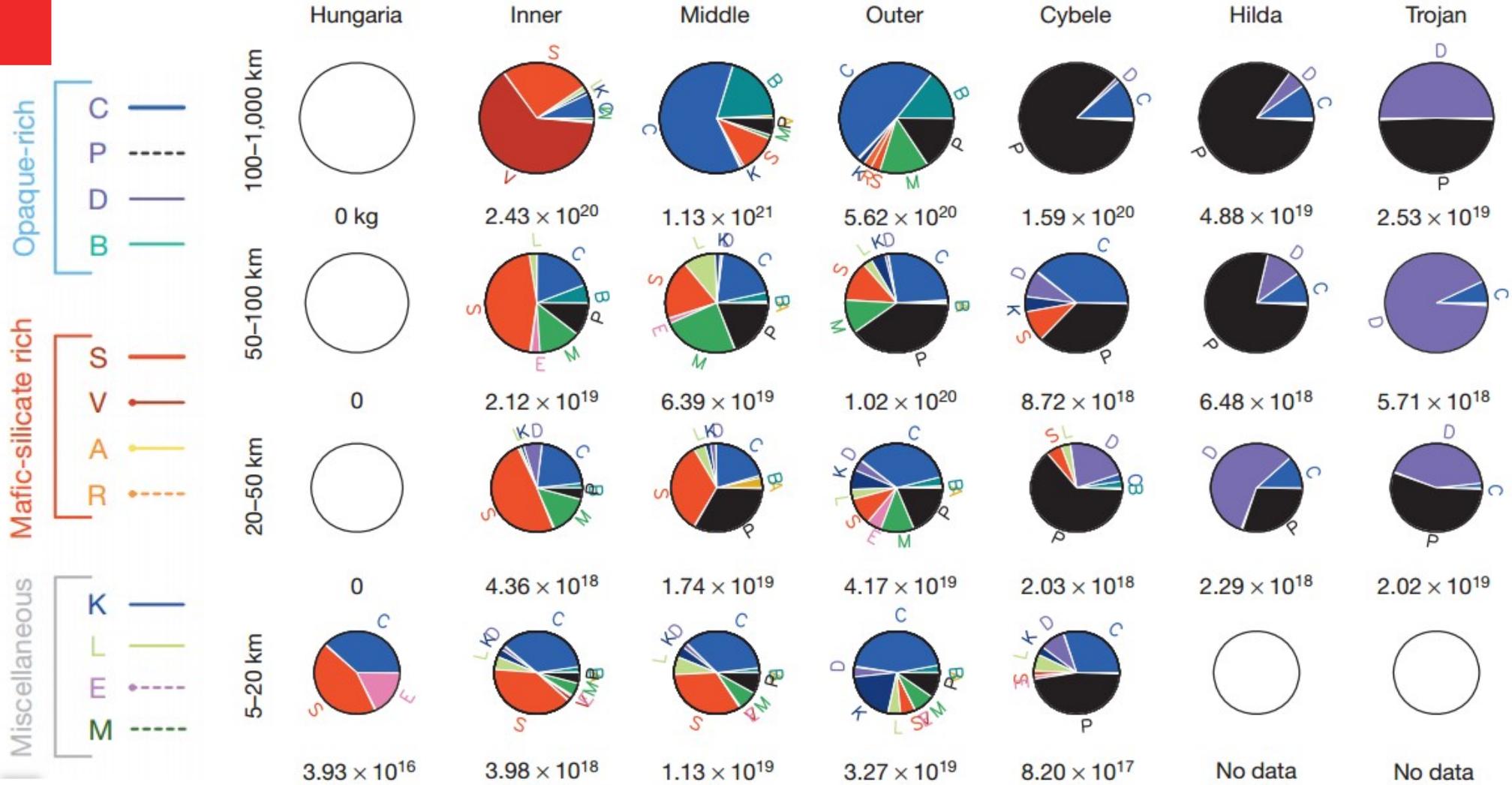
ESOP39, 29-30 August 2020

Small bodies in the Solar System formation context



- SB are remnants of the early stages of planetary formation.
- They contain information about these processes.
- Trigger (new/revised) theories on the formation and evolution of our Solar System (GTM, NM).
- SS history more dynamic than thought 20+ yrs before.

Compositional mass distribution as function of size



From: DeMeo & Carry (2014)

Based on TC to (diameter, mass) calculation. Wish: statistic sample based on real estimates.

SB: different but related domains

- Orbit and spin state
 - Dynamical (LT) studies, YE,...
- Composition
 - In situ, spectra (VIS/VNIR)
=> TC, meteorites
- Physical parameter (size, mass, bulk density, macro porosity, etc.)
 - Size: multi-domain (e.g. occultations)
 - Mass: dito (e.g. astrometric)



Density of asteroids

- Fundamental property for the understanding of the composition and internal structure.
- Directly: (mean bulk) density = mass / volume.
- Indirectly: Mutual events of binary systems.
- Deduce it from TC associated density.

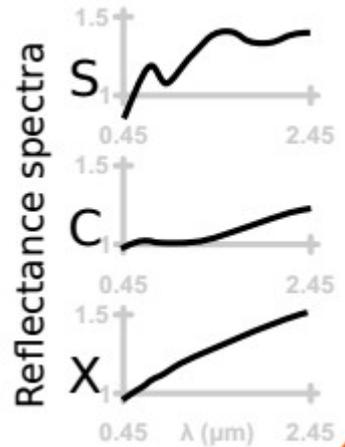
Asteroids – TC – Meteorites - Link

- **Taxonomic Classes (TC):** classification scheme based on VIS and VNIR spectra
 - Chapman, Morrison, Zellner (1975)
 - Tholen (1984): 3 groups (C/S/X), 14 types
 - Bus-DeMeo (2009): 24 classes
 - SMASS, S3OS2, ...

Typical values for density:

- C = 1.38 g/cm³
- S = 2.71 g/cm³
- M = 5.32 g/cm³

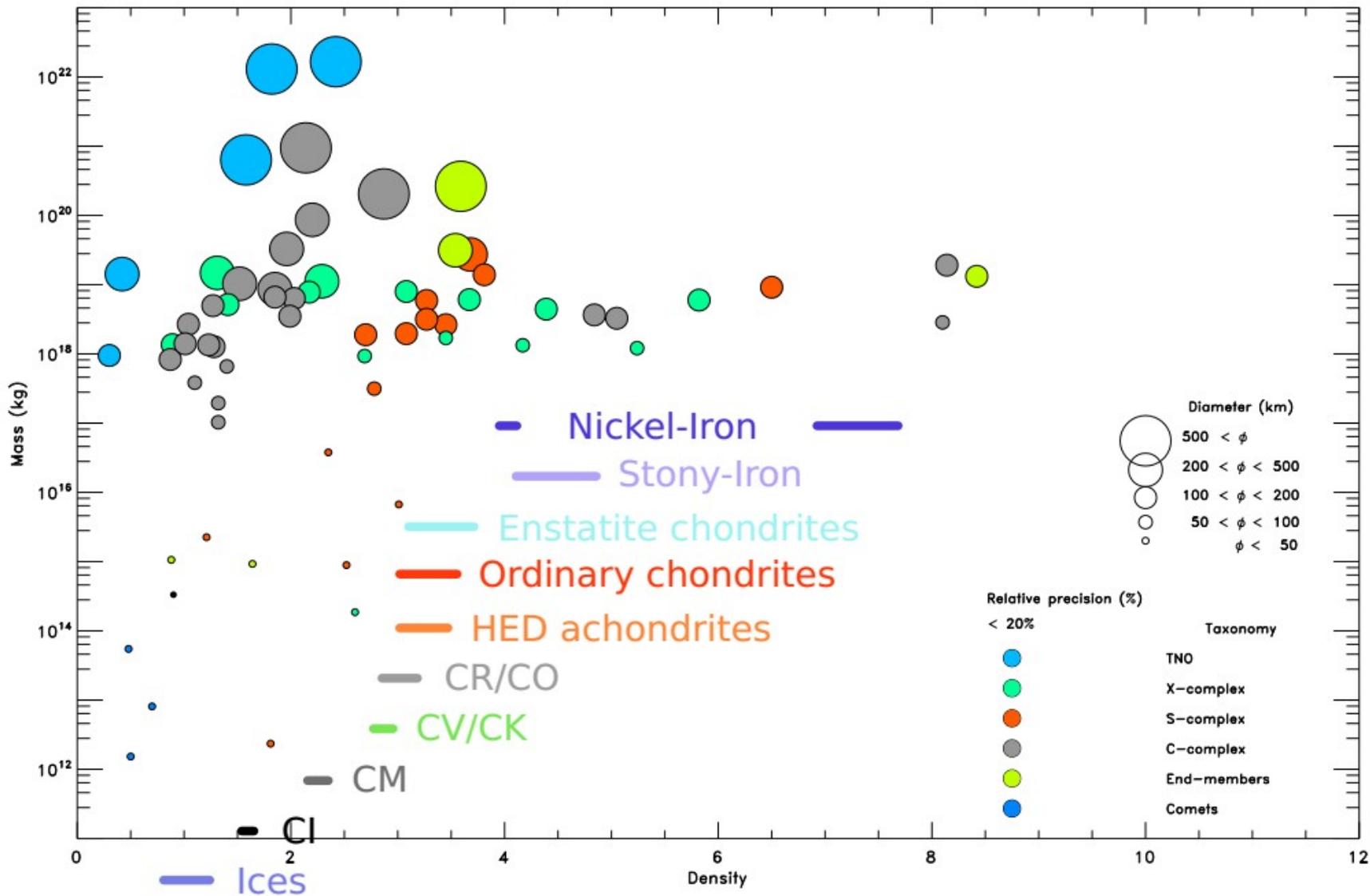
(Krasinsky et al. 2002)



Meteorites

- Typical densities (g/cm³):
 - Chondrites : 3.2-3.4
 - Carbonaceous chondrites: 2.1-3.5
 - Stony irons: 4.3 – 4.8
 - Iron: 7-8
 - + lot of sub-types !

Find link between TC and meteorites.
Then you can examine this piece of
asteroid in the laboratory.



Volume / Size / (mean,eff.,equiv.) Diameter

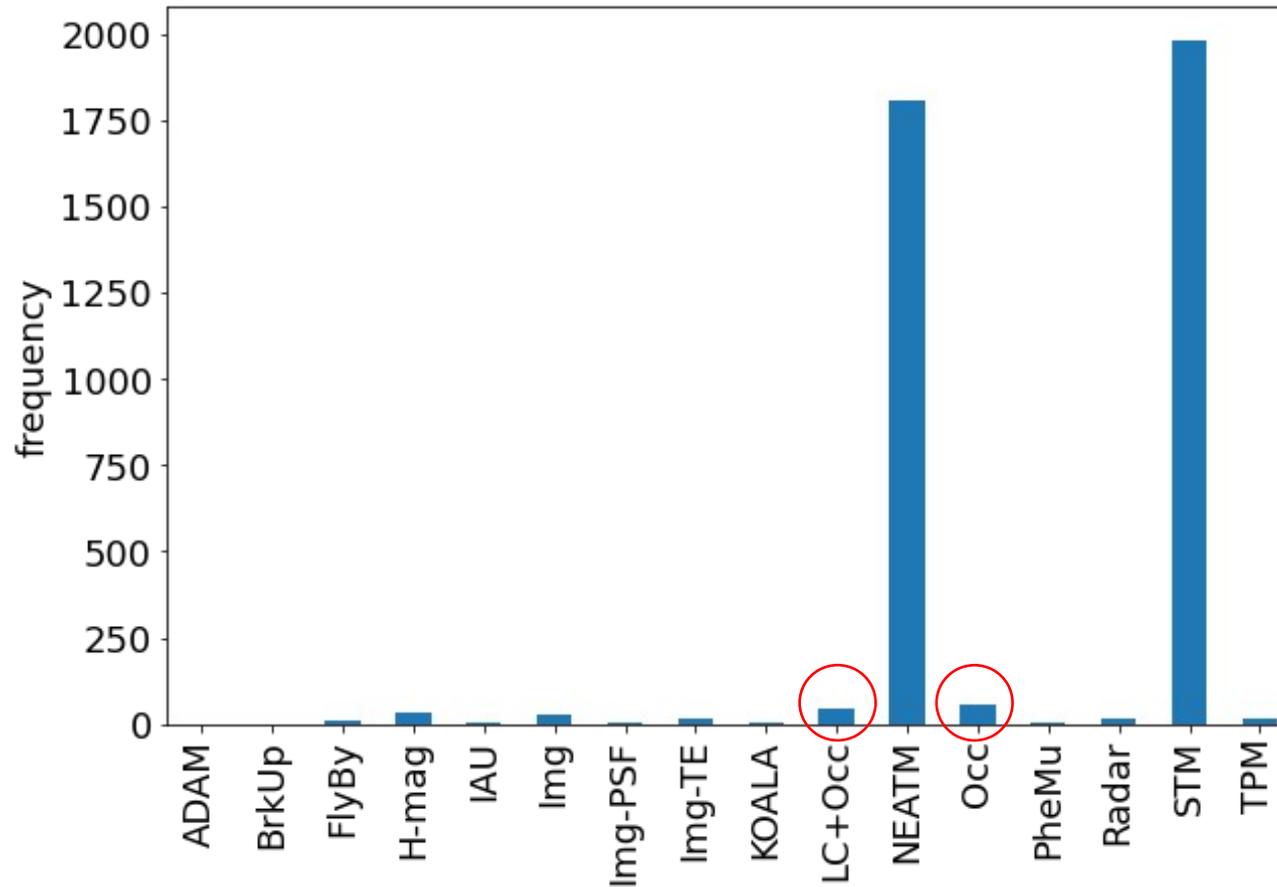
- Volume (Sphere, MacLaurin, Jacobi) = f(1-3 param.), e.g. $V = V(D) = \frac{4}{3} \pi (D/2)^3$
- Non-spherical body: define a mean/equivalent/effective diameter D for it, where:
 - a sphere with that diameter has the same volume as the body.
 - a sphere with that diameter has the same surface as the body.
- Density $\Rightarrow D_{\text{equiv}(V)}$ is needed.
- Caution: check radiometric diameter if $D_{\text{equiv}(V)}$ or $D_{\text{equiv}(A)}$ is given.
- I will use the terms diameter, size, volume interchangeable for the same concept.

Diameter/Size/Volume estimates

- Crude estimate from H and assumed (TC) geometric albedo p :
$$D \text{ (km)} = 1329 p^{-0.5} 10^{-0.2H}$$
- Radiometric (thermal modeling, e.g. STM and NEATM): IRAS, AKARI, Spitzer, WISE.
- 2D from occultations.
- 3D model from LC inversion (ADAM, KOALA, SAGE) need to be scaled for physical size => OCC's !

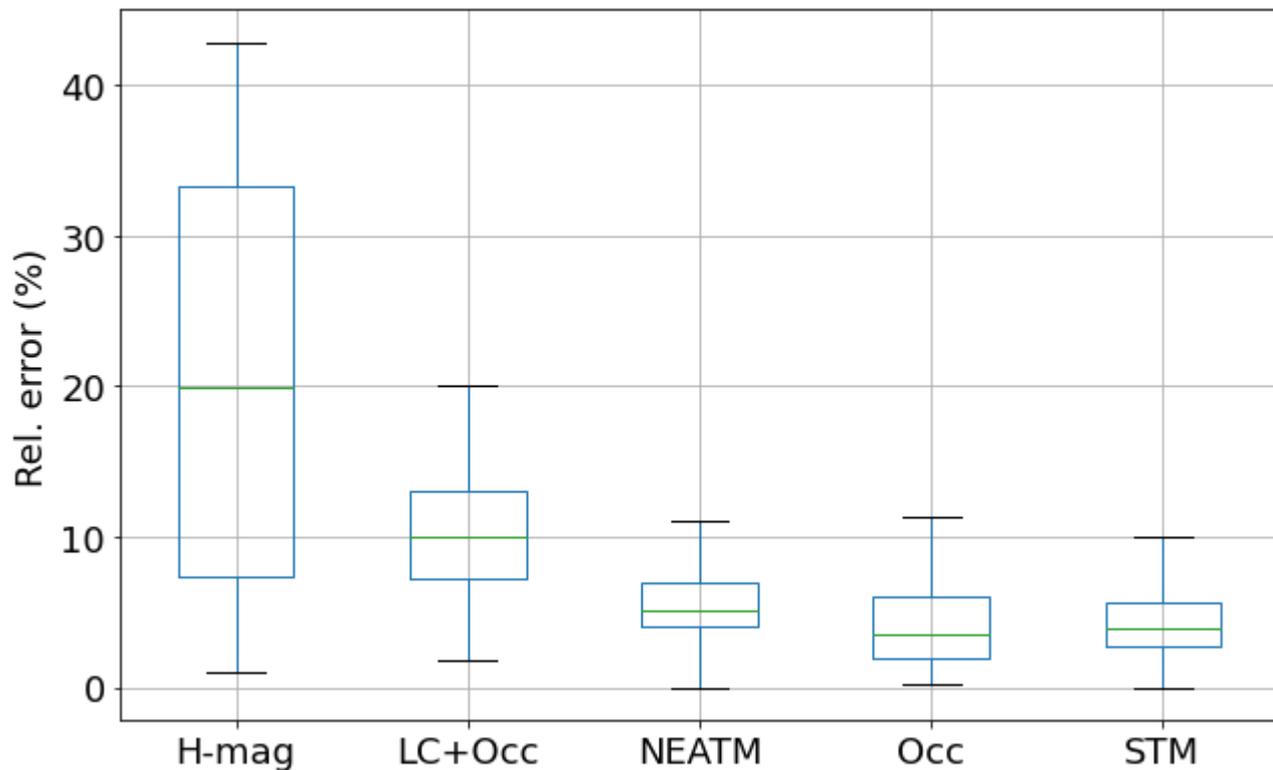
Multi-data: LC, OCC, direct imaging, radar (NEO).

Diameter estimate methods

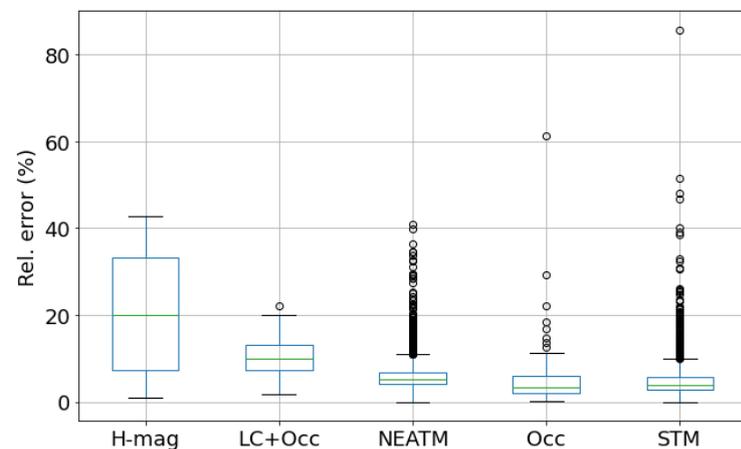


Relative error for diameter estimates

Excluding outlier



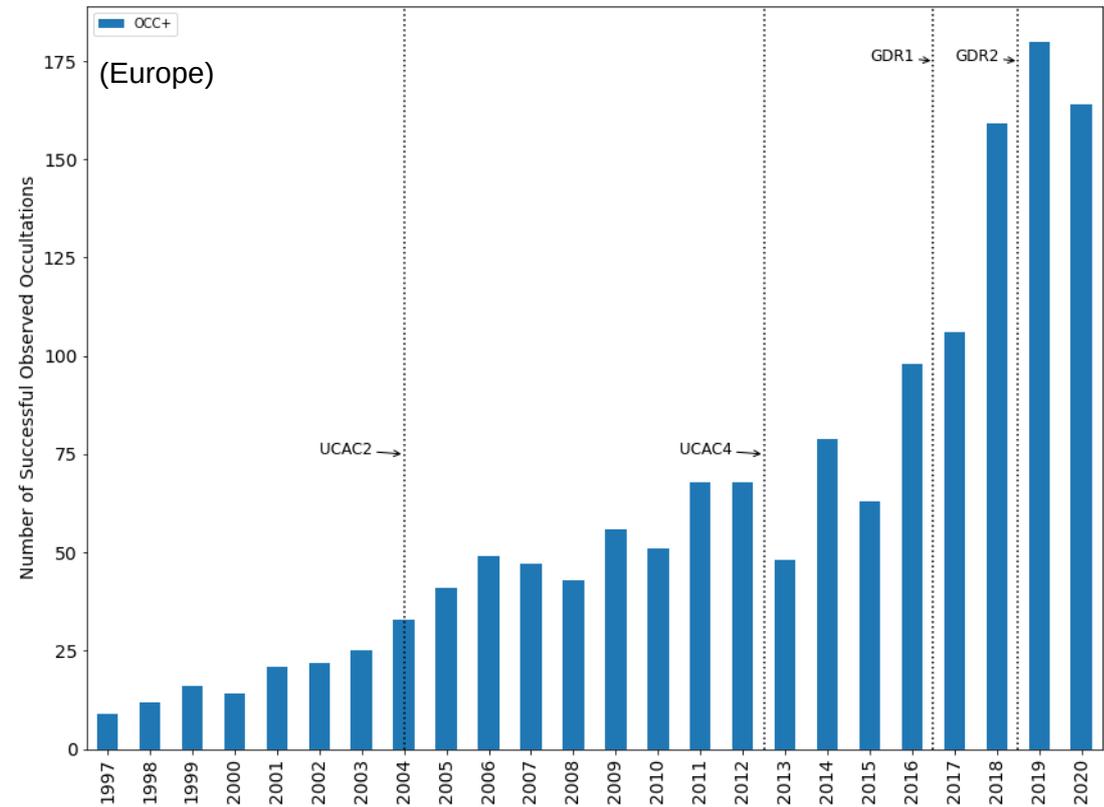
Including outlier



Sample: ~ 4000 estimates

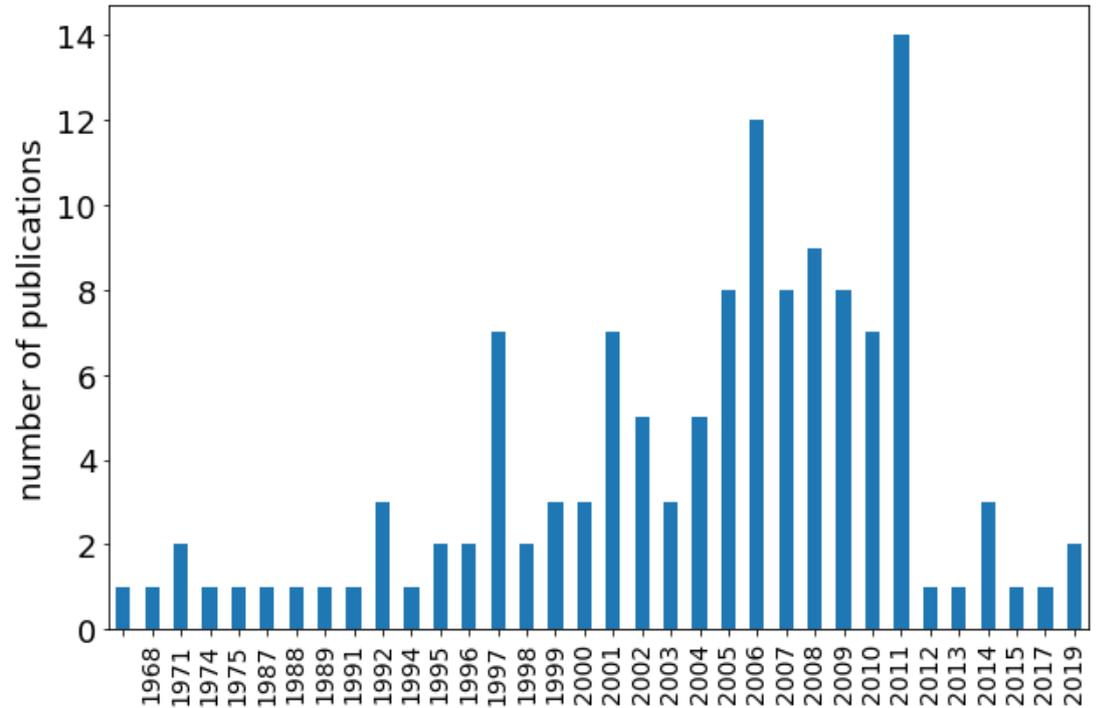
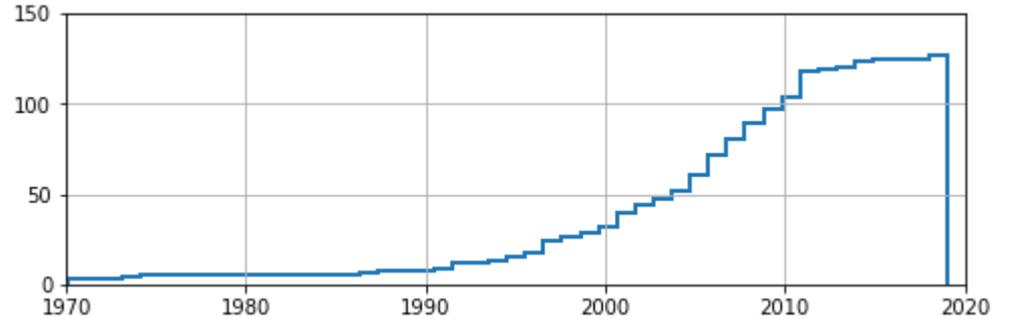
Benefit of asteroidal occultation observations

- Size (directly or via multi-data). (sub-)km level !
- 2D-Profile / Shape
- (sub-) mas astrometry
- Binary, moons, rings etc.
- Increasing data set:
Number of OCC+ is growing significantly (not only since Gaia, but boosted by Gaia).

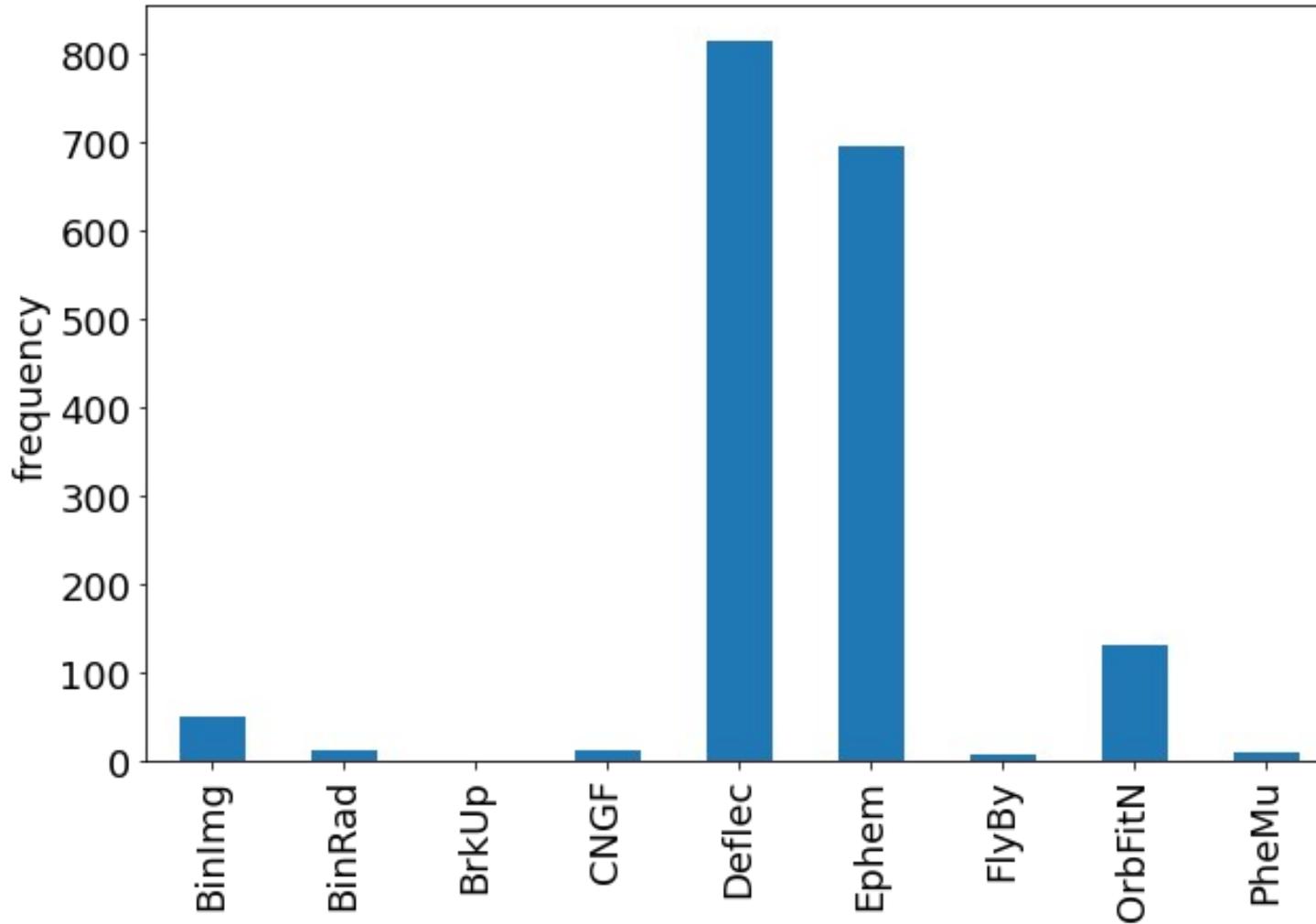


Mass estimates

- Methodically usually harder to derive as size.
- More data needed (more objects, more estimates per object).
- Gaia prospects:
 - ~ 36 < 10%
 - ~ 150 < 50%



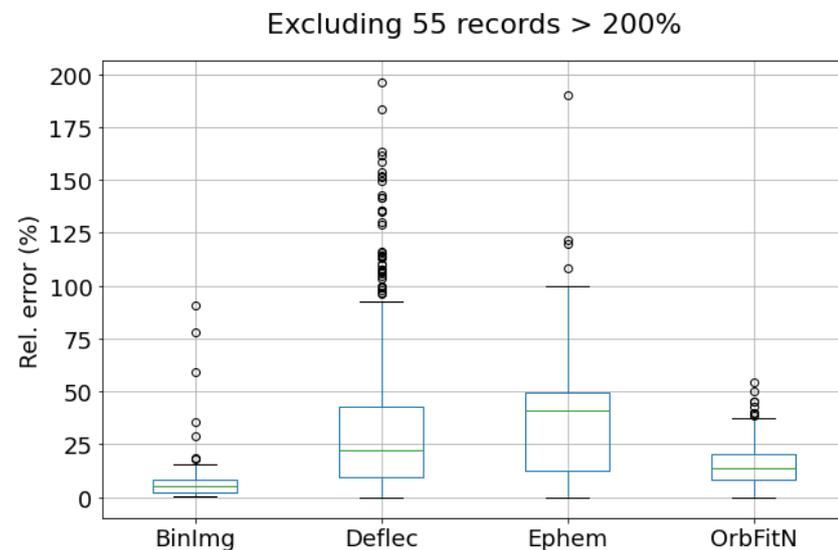
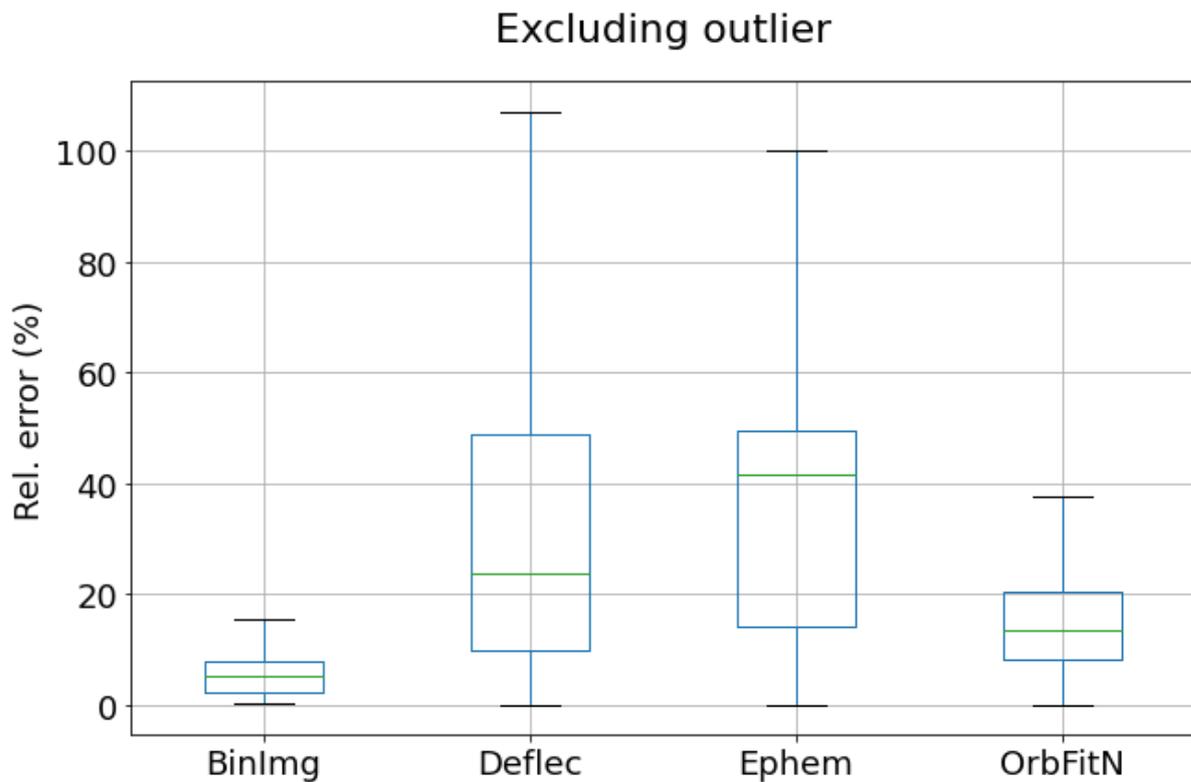
Mass estimates



Deflec : Mutual encounter
Ephem: JPL DE, INPOP

astrometric methods

Relative error for mass estimates

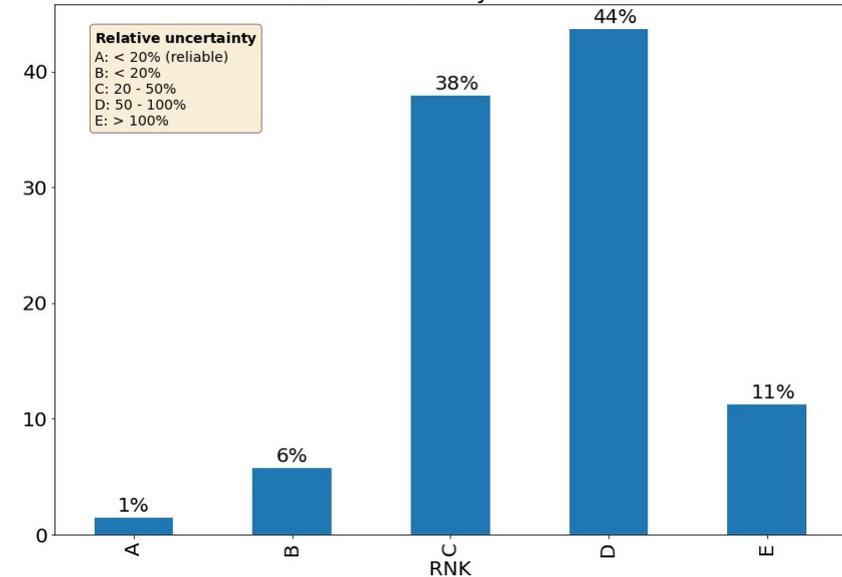


Sample: ~ 1700 estimates

SiMDA catalog of densities

| ROW | NUM | DESIGNATION | DYN | B.D. | ERR | RNK | T.T | T.B | L.T | L.B | T.D | DIAM | ERR | MASS | ERR | B.D (C12) | ERR (C12) | TAX (C12) |
|-----|-----|--------------|-----|------|------|-----|-----|-----|-----|-----|-----|-------|------|----------|----------|-----------|-----------|-----------|
| 1 | | 10P/Tempel 2 | COM | 0.76 | 0.46 | D | - | - | - | - | - | 9.6 | 1.4 | 3.50e+14 | 1.50e+14 | 0.75 | 0.46 | - |
| 2 | | 1999 OJ4 | TNO | 0.34 | 0.35 | E | - | - | - | - | - | 130.0 | 45.0 | 3.91e+17 | 2.20e+16 | 0.33 | 0.35 | - |
| 3 | | 19P/Borrelly | COM | 0.05 | 0.04 | D | - | - | - | - | - | 4.8 | 0.4 | 2.70e+12 | 2.10e+12 | 0.12 | 0.09 | - |
| 4 | | 1P/Halley | COM | 0.54 | 0.38 | D | - | - | - | - | - | 10.4 | 2.0 | 3.20e+14 | 1.20e+14 | 0.54 | 0.37 | - |
| 5 | | 2000 QL251 | TNO | 1.76 | 1.76 | E | - | - | - | - | - | 150.0 | 50.0 | 3.11e+18 | 5.10e+16 | 1.75 | 1.76 | - |
| 6 | | 2000 UG11 | NEA | 0.66 | 0.67 | E | - | - | - | - | - | 0.3 | 0.1 | 9.35e+09 | 1.59e+09 | 0.66 | 0.67 | - |
| 7 | | 2001 QC298 | TNO | 1.42 | 0.96 | D | - | - | - | - | - | 244.0 | 55.0 | 1.08e+19 | 7.00e+17 | 1.41 | 0.96 | - |
| 8 | | 2001 XR254 | TNO | 0.67 | 0.67 | E | - | - | - | - | - | 225.0 | 75.0 | 4.00e+18 | 1.70e+17 | 0.67 | 0.67 | - |
| 9 | | 2003 QY90 | TNO | 0.57 | 0.72 | E | - | - | - | - | - | 150.0 | 50.0 | 1.01e+18 | 7.85e+17 | 0.57 | 0.72 | - |
| 10 | | 2003 TJ58 | TNO | 1.02 | 1.02 | E | - | - | - | - | - | 75.0 | 25.0 | 2.25e+17 | 1.50e+16 | 1.01 | 1.02 | - |
| 11 | | 2004 PB108 | TNO | 6.74 | 7.23 | E | - | - | - | - | - | 140.0 | 50.0 | 9.68e+18 | 5.70e+17 | 6.73 | 7.22 | - |
| 12 | | 22P/Kopff | COM | 0.22 | 0.12 | D | - | - | - | - | - | 3.6 | 0.4 | 5.30e+12 | 2.20e+12 | 0.21 | 0.11 | - |
| 13 | | 2P/Encke | COM | 1.71 | 1.39 | D | - | - | - | - | - | 4.7 | 0.8 | 9.20e+13 | 5.80e+13 | 1.67 | 1.36 | - |
| 14 | | 45P/H-M-P | COM | 1.26 | 2.59 | E | - | - | - | - | - | 0.7 | 0.2 | 1.90e+11 | 3.50e+11 | 1.26 | 2.59 | - |
| 15 | | 46P/Wirtanen | COM | 0.41 | 0.30 | D | - | - | - | - | - | 1.1 | 0.1 | 3.30e+11 | 2.30e+11 | 0.4 | 0.28 | - |
| 16 | | 67P/C-G | COM | 1.10 | 0.45 | C | - | - | - | - | - | 3.0 | 0.1 | 1.50e+13 | 6.00e+12 | 0.43 | 0.37 | - |
| 17 | | 6P/dArrest | COM | 1.09 | 0.49 | C | - | - | - | - | - | 1.7 | 0.2 | 2.80e+12 | 8.00e+11 | 1.08 | 0.49 | - |
| 18 | | 81P/Wild 2 | COM | 1.72 | 0.23 | B | - | - | - | - | - | 2.1 | 0.1 | 8.10e+12 | 8.10e+11 | 0.7 | 0.1 | - |
| 19 | | 9P/Tempel 1 | COM | 0.45 | 0.23 | C | - | - | - | - | - | 5.6 | 0.5 | 4.21e+13 | 1.81e+13 | 0.48 | 0.06 | - |
| 20 | | SL9 | COM | 0.51 | 0.16 | C | - | - | - | - | - | 1.8 | 0.2 | 1.53e+12 | 1.53e+11 | 0.5 | 0.05 | - |
| 21 | 1 | Ceres | MBA | 2.14 | 0.15 | A | G | C | C | C | C | 944.3 | 21.6 | 9.43e+20 | 6.16e+18 | 2.13 | 0.15 | C |
| 22 | 2 | Pallas | MBA | 2.97 | 0.45 | A | B | B | - | - | B | 516.2 | 17.1 | 2.14e+20 | 2.42e+19 | 2.86 | 0.32 | B |
| 23 | 3 | Juno | MBA | 3.29 | 0.59 | A | S | Sk | S | Sk | Sq | 250.0 | 8.2 | 2.69e+19 | 4.03e+18 | 3.68 | 0.62 | Sq |
| 24 | 4 | Vesta | MBA | 3.56 | 0.14 | A | V | V | - | - | V | 519.3 | 5.9 | 2.61e+20 | 5.55e+18 | 3.58 | 0.15 | V |
| 25 | 5 | Astraea | MBA | 4.27 | 2.20 | D | S | S | - | - | S | 114.9 | 9.7 | 3.40e+18 | 1.52e+18 | 3.45 | 0.66 | S |
| 26 | 6 | Hebe | MBA | 3.88 | 0.86 | C | S | S | - | - | S | 188.4 | 8.5 | 1.36e+19 | 2.36e+18 | 3.81 | 0.5 | S |
| 27 | 7 | Iris | MBA | 3.19 | 0.91 | C | S | S | - | - | S | 203.8 | 12.4 | 1.41e+19 | 3.08e+18 | 2.14 | 0.81 | S |
| 28 | 8 | Flora | MBA | 5.41 | 1.25 | C | S | - | - | - | Sw | 138.3 | 5.6 | 7.50e+18 | 1.47e+18 | 6.5 | 1.28 | S |
| 29 | 9 | Metis | MBA | 3.87 | 1.17 | C | S | - | T | T | - | 161.1 | 12.2 | 8.47e+18 | 1.71e+18 | 3.6 | 0.87 | S |
| 30 | 10 | Hygiea | MBA | 2.21 | 0.55 | C | C | C | - | - | C | 423.2 | 29.9 | 8.77e+19 | 1.14e+19 | 2.19 | 0.42 | C |
| 31 | 11 | Parthenope | MBA | 3.12 | 0.74 | C | S | Sk | - | - | Sq | 147.9 | 9.7 | 5.28e+18 | 6.96e+17 | 3.27 | 0.41 | Sq |
| 32 | 12 | Victoria | MBA | 2.14 | 1.25 | D | S | L | D | D | - | 128.2 | 5.6 | 2.36e+18 | 1.34e+18 | 2.45 | 0.67 | L |
| 33 | 13 | Egeria | MBA | 1.48 | 0.70 | C | G | Ch | - | - | Ch | 222.8 | 6.9 | 8.55e+18 | 3.98e+18 | 1.7 | 0.86 | Ch |
| 34 | 14 | Irene | MBA | 3.00 | 0.80 | C | S | S | - | - | S | 147.5 | 5.2 | 5.04e+18 | 1.24e+18 | 1.72 | 1.12 | S |
| 35 | 15 | Eunomia | MBA | 3.59 | 0.60 | A | S | S | - | - | K | 255.0 | 13.1 | 3.12e+19 | 2.02e+18 | 3.54 | 0.2 | K |
| 36 | 16 | Psyche | MBA | 3.00 | 0.73 | C | M | X | - | - | Xk | 243.5 | 18.6 | 2.27e+19 | 1.87e+18 | 3.38 | 1.16 | Xk |

Distribution of density estimate ranks

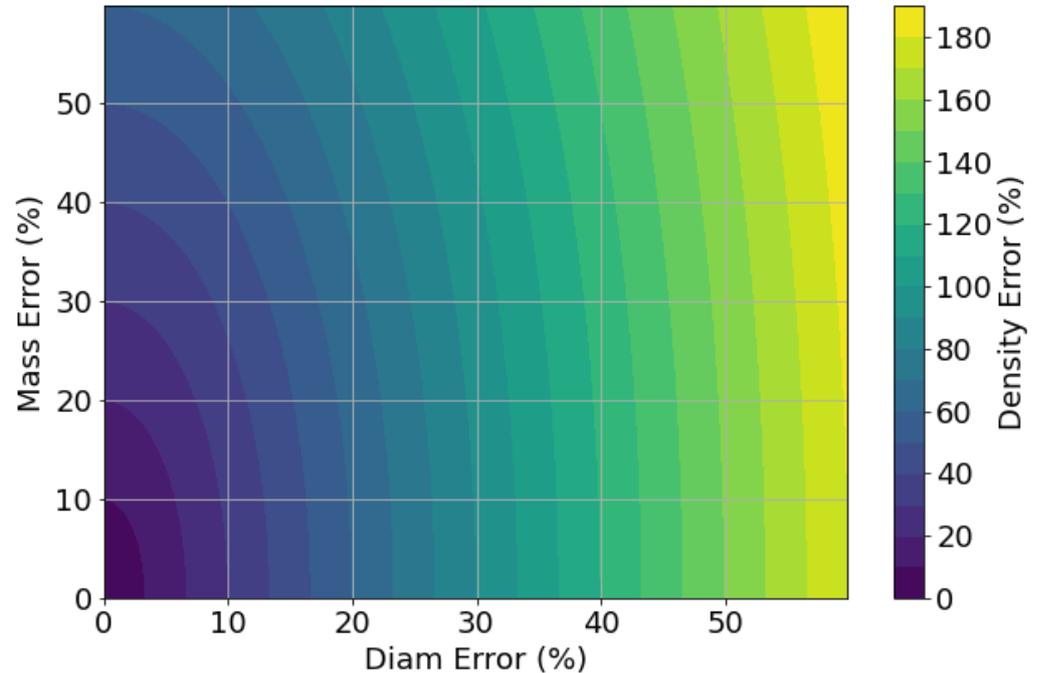


SiMDA 'plain': no individual D,M selection for a 'best value' estimate (TBD and/or by user), just outlier rejection. EVM tend to larger standard errors.

But: only ~ 300 estimates

Uncertainty of derived density

- The contribution of diameter uncertainty easily overwhelms that of the mass :
$$\Delta\rho/\rho = \text{sqrt}((\Delta M/M)^2 + 9(\Delta D/D)^2)$$



Main conclusion

- We need more and more accurate and reliable densities !
- => Volumes (diameters)
 - Occultations
 - Light Curves
- => Mass estimates
- Formal (post fit) errors can be underestimated (wrt to mass and diameter).
- Diameter / mass / density data probably biased by used methods and also by observational constraints.

Research: where are the (individual) data !?

- No machine-readable compilation of individual mass estimates including errors.
- Diameter: different (often machine-ready) data sets available, but many individual results only in literature. Need to be evaluated, joined in data set including error estimates, etc.
- No machine-readable compilation of all densities including the individual diameter and mass estimates and their errors.
- Hard to get a (quick and easy) overview / common picture about all diameter, mass and density including error estimates publications for an object.



Existing work on densities

- Asteroid I-IV. (Incomplete list)
- Some individual research and compilations on asteroid masses.
- Several data set on diameters.
- Latest compilation: [paper](#) by Carry 2012.

SiMDA – Data Archive and Web Portal

At present

- Initial motivation: own mass determination of asteroids
=> get an overview about status quo.
- Data: Manual, scripted (parser) and OCR based acquisition of ~4000 diameters, ~1750 mass estimates, ~2600 tax and dyn. classes, ~230 references.
- Application: Django (Python) web application.
- Note: SiMDA is still in early state.

Roadmap

- Reach v1.0 until EPSC 2020 ... ;-)
- More data (still incomplete).
- Dynamical (sub)classes.
- Catalog: improve 'Best value' .
- -----
- Additional online (on the fly) analysis features.
- Other data exchange formats (VO tables etc.).
- User suggestions ...

Size, Mass and Density of Asteroids (SIMDA)

[Home](#) | [Catalog](#) | [More](#) | [Info](#) | [Tools](#)

Summary for : (121) Hermione

| Dyn | T1 | T2 | T.T.L. B | T.D. | Density (g/cm ³) | Diameter (km) | Mass (kg) | Reference | Av.M |
|-----|----|-----|----------|------|------------------------------|---------------|---------------------|------------|-------|
| MBA | CN | -1- | - | - | 1.38 ± 0.46 (C) | 193.1 ± 17.8 | 5.19e+18 ± 9.54e+17 | SIMDA | EV.M |
| MBA | CN | -1- | - | - | 1.28 ± 0.34 (C) | 192.2 ± 11.7 | 4.76e+18 ± 9.28e+17 | SIMDA | av.mg |
| MBA | CN | - | - | - | 1.27 ± 0.22 (B) | 195.4 ± 10.6 | 4.97e+18 ± 3.20e+17 | Carry 2012 | ? |

Typical (mean) asteroid densities: C = 1.38 g/cm³, S = 2.71 g/cm³, M = 5.32 g/cm³ (B&G)

Additional resources:

[JPL Horizons](#) | [JPL Small-Body Database](#) | [MPCOR](#) | [Minor Planet Center](#) | [Wikidata](#) (these auto-generated links might not work)

Note: The density estimates have been ranked from (A) to (E), corresponding to the relative error: (B) less than 20%, (C) between 20 and 50%, (D) between 50 and 100%, and (E) more than 100%. (A) stands for (presumably) reliable estimates (accuracy better than 20%), based on more than 5 mass estimates and 5 diameter estimates, or a spacecraft encounter. In Carry (2012) unrealistic densities are tagged with a (?).

EV.M: average by using the Expected Value Method (B&G). **av.mg:** weighted average (with w = 1/dm²). The EV.M derived values are recommended.

T1: Trojan Tax Class. **T2:** Bus & Binzel Tax Class. **T.T.L. B:** S30S2 Lazaro (Trojan) Tax Class. **T.L. B:** S30S2 Lazaro (Bus & Binzel) Tax Class. **T.D:** DeMeo Tax Class.

Diameter estimates for object : (121) Hermione

| Designation | Diameter (km) | Method | Year | Ref | N | χ ² | Use |
|--------------|---------------|--------|------|-----|----|----------------|-----|
| 121 Hermione | 209.0 ± 4.69 | STM | 2004 | D93 | 12 | 11.43 | ☑ 1 |
| 121 Hermione | 178.89 ± 7.19 | img | 2006 | D19 | 3 | 3.93 | ☑ 2 |
| 121 Hermione | 138.8 ± 11.89 | img | 2006 | D19 | 12 | 20.89 | ☑ 3 |
| 121 Hermione | 189.0 ± 7.0 | img | 2006 | D34 | 4 | 0.35 | ☑ 4 |
| 121 Hermione | 187.0 ± 6.0 | KOALA | 2009 | D95 | 6 | 1.06 | ☑ 5 |
| 121 Hermione | 221.56 ± 5.97 | STM | 2010 | D64 | 6 | 22.66 | ☑ 6 |
| 121 Hermione | 212.01 ± 7.71 | NEATM | 2010 | D64 | 6 | 5.99 | ☑ 7 |
| 121 Hermione | 194.11 ± 2.69 | STM | 2011 | D83 | 3 | 0.13 | ☑ 8 |
| 121 Hermione | 164.87 ± 4.93 | NEATM | 2011 | D72 | 12 | 39.02 | ☑ 9 |

[Update:](#) [plot](#), average diameter and derived density

Notes (N):

- This estimate is not included in Carry (2012) data set (SIMDA only). That implies also note 1.
- This estimate was discarded for the average diameter (and derived density) calculation in Carry (2012).
- This estimate was discarded for the average diameter (and derived density) calculation in SIMDA ([table](#)).

img : Apparent size in disk-resolved imaging. **KOALA** : Combined lightcurve(s) + occultation(s) + disk-resolved image(s). **NEATM** : Near-Earth Asteroid Thermal Model. **STM** : Standard Thermal Model.

References

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- D72 (2011): Masiero, J.R., Manzev, A.K., Gray, T., Bauer, J.M., Cuti, R.M., Daley, J., Eisenhart, P.R.M., McMillan, R.S., Spahr, T.B., Skrutskie, M.F., Tholen, D., Walker, R.G., Wright, E.L., DeBus, E., Elsbury, D., Gautier, N.T., Gormion, S., Wilkins, A., 2011. Main Belt Asteroids with WISE/NEOWISE. I. Preliminary Albedos and Diameters. *Astrophysical Journal* 741, 68.
- D83 (2011): Usui, F., Kuroda, D., Müller, T.G., Hasegawa, S., Ishiguro, M., Orosco, B., Tshihara, D., Kaszka, H., Takita, S., Oyabu, S., Ueno, M., Matsuhara, H., Onaka, T., 2011. Asteroid Catalog Using Akari AKARI/IRC Mid-Infrared Asteroid Survey. Publications of the Astronomical Society of Japan 53, 117-133.
- D93 (2004): Tedesco, E.F., Noah, P.V., Noah, M.C., Price, S.D., 2004. IRAS Minor Planet Survey. NASA Planetary Data System. IRAS-A-FPA-3-RDR-IMPS-V6.0.

Mass estimates for object : (121) Hermione

| Designation | Mass (kg) | Method | Year | Ref | N | χ ² | Use |
|--------------|-----------------------|--------|------|------|----|----------------|------|
| 121 Hermione | 9.350e+18 ± 3.590e+18 | Deflec | 2000 | M20 | 12 | 6.83 | ☑ 1 |
| 121 Hermione | 5.380e+18 ± 2.980e+17 | Bining | 2005 | M47 | 3 | 0.39 | ☑ 2 |
| 121 Hermione | 6.560e+18 ± 2.180e+18 | Deflec | 2005 | M118 | 0 | 0.39 | ☑ 3 |
| 121 Hermione | 4.700e+18 ± 2.000e+17 | Bining | 2009 | M84 | 6 | 6.10 | ☑ 4 |
| 121 Hermione | 5.120e+18 ± 2.220e+18 | Deflec | 2011 | M97 | 1 | 0.00 | ☑ 5 |
| 121 Hermione | 6.010e+18 ± 1.700e+18 | Deflec | 2011 | M97 | 1 | 0.23 | ☑ 6 |
| 121 Hermione | 4.580e+18 ± 2.130e+18 | Deflec | 2011 | M97 | 1 | 0.08 | ☑ 7 |
| 121 Hermione | 6.270e+18 ± 2.280e+18 | Deflec | 2011 | M97 | 1 | 0.22 | ☑ 8 |
| 121 Hermione | 4.770e+18 ± 7.950e+17 | Deflec | 2014 | M121 | 0 | 0.28 | ☑ 9 |
| 121 Hermione | 3.182e+18 ± 3.980e+17 | OrbFIN | 2014 | M130 | 0 | 25.55 | ☑ 10 |
| 121 Hermione | 5.150e+18 ± 1.020e+18 | Deflec | 2017 | M140 | 0 | 0.00 | ☑ 11 |
| 121 Hermione | 6.371e+18 ± 3.280e+18 | Deflec | 2017 | M140 | 0 | 0.94 | ☑ 12 |
| 121 Hermione | 5.368e+18 ± 8.970e+17 | Deflec | 2017 | M140 | 03 | 0.04 | ☑ 13 |
| 121 Hermione | 4.796e+18 ± 1.900e+18 | Ephem | 2019 | M150 | 0 | 0.04 | ☑ 14 |

[Update:](#) [plot](#), average mass and derived density

Notes (N):

- This estimate is not included in Carry (2012) data set (SIMDA only). That implies also note 1.
- This estimate was discarded for the average mass (and derived density) calculation in Carry (2012).
- This estimate was discarded for the average mass (and derived density) calculation in SIMDA ([Catalog](#)).
- This estimate is an average of individual solutions listed before under the same reference (e.g. M140).

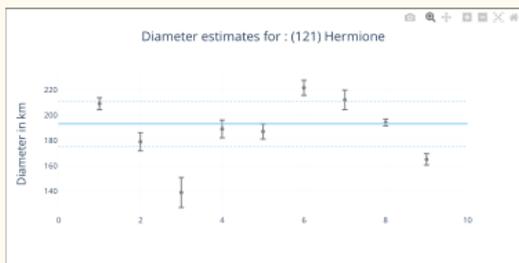
Bining : Binary imaged at optical wavelength. **Deflec** : Orbital deflection (close encounter) of one or several test asteroids. **Ephem** : Planetary ephemeris solution. **OrbFIN** : Simultaneous multi-asteroid astrometric orbit solution (similar to Ephem).

References

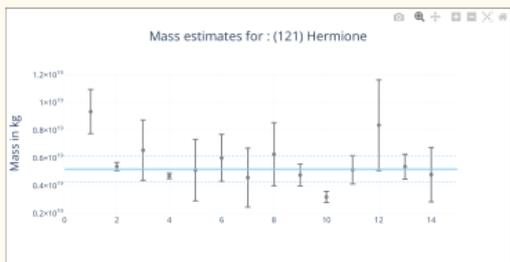
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SiMDA – Size, Mass and Density of Asteroids (but also TNOs, Comets, ...)

Short live preview / presentation ...



EV.M diam. average D = (193.1 ± 17.78) km (SNR = 10.86)
Derived bulk density ρ = (1.38 ± 0.46) g/cm³ (SNR = 3.0)



EV.M mass average M = (5.194 ± 0.954) × 10¹⁸ kg (SNR = 5.4)
Derived bulk density ρ = (1.38 ± 0.46) g/cm³ (SNR = 3.0)

Summary / Takeaway

- Number of diameter, mass and density estimates has grown about one order of magnitude since *Asteroids III*.
- Still just a tiny fraction (and biased?).
- Mass estimates were crucial in the past in terms of quantity but also quality (u.e. errors). Can / will improve due to:
 - better astrometry (talk by J. Fereirra)
 - better errors models
 - more data (surveys)
 - Gaia observations of asteroids
- As consequence the diameter estimates will become (more) crucial for the density accuracy in many cases.
- Radiometric method may have significant (systematic) errors.
- LC+OCCs reliable independent method, significant (continuous) amateur contribution is possible (photometry and occultations).
- Dedicated data archives and analysis tools like SiMDA help to reveal issues and to find 'best values'.



Thank you for ...

- your work on asteroidal occultations. Accurate and reliable diameter values are very important for SB science!
- your work on asteroidal (rotation) light curves.
- your astrometry (+ sparse photometry).
- using SIMDA and helping to improve it.
- **your attention !**